

Europäisches Patentamt European Patent Office Office europeen des brevets D 2 0 NOV 2003 WIPO PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein. The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr.

Patent application No. Demande de brevet nº

02026651.6

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office Le Président de l'Office européen des brevets D.O.

R C van Dijk

BEST AVAILABLE COPY



European Patent Office Office européen des brevets



Anmeldung Nr:

Application no.:

02026651.6

Demande no:

Anmeldetag:

Date of filing: 29.11.02

Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

CLARIANT INTERNATIONAL LTD. Rothausstrasse 61 4132 Muttenz SUISSE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description. Si aucun titre n'est indiqué se referer à la description.)

Mono azo dyes

In Anspruch genommene Prioriät(en) / Priority(ies) claimed /Priorité(s) revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/Classification internationale des brevets:

C09B/

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SK TR

MONO AZO DYES

9/11 '02 FR

5

15

20

25

30

The invention relates to novel dyestuff and mixtures thereof, the use of such compounds and/or mixtures for printing recording materials, especially paper or papery substrates, textile fibre materials, plastic films and plastic transparencies by the inkjet printing process and also to the recording materials printed thereby.

Inkjet printing processes are becoming more and more important for industrial applications.

Inkjet printing processes are known. In what follows, the principle of inkjet printing will only be discussed very briefly. Details of this technology are described for example in the Ink-Jet-Printing section of R.W. Kenyon in "Chemistry and Technology of Printing and Imaging Systems", Peter Gregory (editor), Blackie Academic & Professional, Chapmann & Hall 1996, pages 113-138, and references cited therein.

In the inkjet printing process, individual droplets of the ink are sprayed from a nozzle onto a substrate in a controlled manner. The continuous inkjet method and the drop-on-demand method are employed predominantly for this purpose. In the case of the continuous inkjet method, the droplets are produced continuously and droplets not needed for printing are diverted into a collecting vessel and recycled. In the case of the discontinuous drop-on-demand method, by contrast, droplets are generated and printed as desired, i.e. droplets are only generated when this is necessary for printing. The droplets may be generated for example by means of a piezo inkjet head or by means of thermal energy (bubble jet).

By additionally disposing at least one nozzle with yellow, magenta or cyan ink side by side it is possible to obtain colour reproductions in high quality. This process is known as polychromatic printing or, when three colour components are used, as trichromatic printing.

The composition of the invention can be used with all known and suitable inkjet printers for printing paper or papery substrates, textile fibre materials, plastic films and plastic transparencies. This applies not only to the use in monochromatic printing but also to polychromatic printing, especially trichromatic printing.

5

The composition of the ink for the inkjet printing process has to possess a suitable conductivity, sterility in storage, viscosity and surface tension to meet the specific requirements of inkjet ink. In addition, the prints on the recording materials have to have good properties and fastness.

10

Useful recording materials, as mentioned above, are preferably paper and papery substrates, textile fibre materials, plastic films and plastic transparencies. But glass and metal may be used as well.

- Useful papers or papery substrates include all known such materials. Preference is given to papers or papery substrates coated on at least one side with a material which is particularly receptive to ink compositions. Such papers or papery materials are described inter alia in DE 3018342, DE 4446551, EP 164196 and EP 875393.
- 20 Useful textile fibre materials are in particular hydroxyl-containing fibre materials.

 Preference is given to cellulosic fibre materials, which consist of or comprise cellulose.

 Examples are natural fibre materials such as cotton, linen or hemp and regenerated fibre materials such as, for example, viscose and also lyocell.
- Useful plastic films or plastic transparencies include all known such materials. Preference is given to plastic films or plastic transparencies coated on at least one side with a material which is particularly receptive to the ink compositions. Such plastic films or plastic transparencies are described inter alia in EP 755332, US 4935307, US 4956230, US 5134198 and US 5219928.

This invention provides dyestuff according to the formula (I)

wherein

5

10

15

20

 R_1 , R_2 , R_3 , R_4 , independently from each other signifies H, Cl, Br, F, SO₃H, SO₂R, SO₂NR'R". COOR, COOH, OH, alkyl, aryl, alkoxy, NCOCH₃, or NR'R", or two neighbouring substituents R_1 , R_2 , R_3 , or R_4 form together a ring which is annealed to the benzthiazole moitie and can be unsubstituted or substituted by SO₃H;

R₅, R₆, R₇, R₈, R₉ independently from each other signifies H, Cl, Br, F, Alkyl, Aryl, Alkoxy, SO₃H, SO₂R, NR'R", or two neighbouring substituents R₅, R₆, R₇, R₈ or R₉ form together a ring which is annealed to the phenyl moitie and can be unsubstituted or substituted by SO₃H;

R is H, alkyl or aryl group which may be substituted or unsubtituted or R' and R' are independently from each other H, alkyl or alkoxy or aryl groups which may be substituted or unsubtituted;

with the proviso that at least one of the substituents R_5 , R_6 , R_7 , R_8 , R_9 is different from H;

as well as their salts and/or mixtures thereof.

Preferred dyestuff according to the invention have the formula (I')

wherein

R'₁, R'₂, R'₃, R'₄, independently from each other signifies H, SO₃H, SO₂R, SO₂NR'R", COOR, COOH, alkyl, alkoxy, NCOCH₃, or NR'R'', or two neighbouring substituents R'₁, R'₂, R'₃, or R'₄ form together a ring which is annealed to the benzthiazole moitie and can be unsubstituted or substituted by SO₃H and wherein at least two of the substituents R'₁, R'₂, R'₃ or R'₄ signify H;

10

15

20

R'₅, R'₆, R'₇, R'₈, R'₉ independently from each other signifies H, Alkyl, Aryl, methoxy or ethoxy, SO₃H, SO₂CH₂CH₂-OH, NR'R", or two neighbouring substituents R₅, R₆, R₇, R₈ or R₉ form together a ring which is annealed to the phenyl moitie and can be unsubstituted or substituted by SO₃H; and wherein at least two of the R'₅, R'₆, R'₇, R'₈ or R'₉ signify H;

with R R' and R" as defined above;

with the proviso that at least one of the substituents R'5, R'6, R'7, R'8, R'9 is different from H;

as well as their salts and/or mixtures thereof.

In further preferred compounds according to formula (I) or (I') R₉ or R'₉ signifies H.

In further preferred compounds the at least one of the substituents R'₅, R'₆, R'₇, R'₈, R'₉ which is different from H is SO₃H.

More preferred dyestuff according to the invention have the the formula (I")

$$R_{3}$$
 R_{4}
 R_{4

wherein

15

20

R"₁, R"₂, R"₃, R"₄, independently from each other signifies H, SO₃H, SO₂CH₂CH₂-OH, SO₂NH₂, SO₂N(CH₃)₂. COOH, COOCH₃, COOCH₂CH₃, CH₃, CH₂CH₃, OCH₂CH₂OH, NCOCH₃, N(CH₃)₂ or NH₂, or two neighbouring substituents R"₁, R"₂, R"₃, or R"₄ form together a six membered ring which is annealed to the benzthiazole moitie and can be unsubstituted or substituted by SO₃H and wherein at least two of the substituents R"₁, R"₂, R"₃ or R"₄ signify H;

R"₅, R"₆, R"₇, R"₈, R"₉ independently from each other signifies H, methyl, ethyl, aryl, methoxy or ethoxy, SO₃H, SO₂CH₂CH₂-OH, NH₂, or two neighbouring substituents R₅, R₆, R₇, R₈ or R₉ form together a six membered ring which is annealed to the phenyl moitie and can be unsubstituted or substituted by SO₃H; and wherein at least one of the R"₅, R"₆, R"₇ or R"₈ signifies H;

with the proviso that at least one of the substituents R"₅, R"₆, R"₇, R"₈, R"₉ is different from H;

as well as their salts and/or mixtures thereof.

The preferred six membered ring which is annealed to the phenyl have the formula

20

6.

wherein the asterix signify the point of attachement to the phenyl.

By preference the more preferred compound according to formula (I), (I') or (I'') bear at least one sulfo groups. Most preferred compound according to formula (I), (I') or (I'') bear at least two sulfo groups.

Alkyl and alkoxy groups referred to above are preferably radicals with up to 8 carbon atoms, more preferably up to 4 carbon atoms, e.g., butyl and more preferably 1 or 2 carbon atoms; substituents thereon may be halogen, hydroxy, cyano, alkoxy, acyl, acyloxy or acylamino. Those alkyl or alkoxy groups having 3 or more carbon atoms may be straight-chain, branched or cyclic, for example, cyclohexyl.

The invention provides in another of its aspects a process for the production of the dyestuffs according to the formula I wherein a diazotised amine of formula II

is coupled with a compound of formula III:

10

15

20

25

7

The compounds of formulae II and III are known starting materials, although they may also be readily synthesised from commonly available reagents via known syntheses. Diazotisation and coupling are effected in the usual manner. Preferably the amine II is diazotised at a temperature of from 0°C to 20°C. and at a pH of from 0 to 2, more preferably 0 to 1. The coupling reaction is preferably carried out at a temperature of from 0°C to 40°C and at a pH of from 0 to 7, more preferably 0 to 6.

Dyestuffs according to the formula (I) may be isolated from the reaction medium according to known methods, for example, by salting out with an alkali metal salt, filtering and drying optionally in vacuo at a slightly elevated temperature.

Depending upon the reaction and/or isolation conditions, the dyestuffs according to the formula (I) may be obtained as the free-acid, salt or mixed salt form, containing, for example, one or more cations selected from the alkali metal cations, e.g., lithium ion, the sodium ion, or an ammonium ion or an alkylammonium cation, e.g., mono-, di-, or tri-methyl or ethylammonium cations or hydroxyalkyl ammonium cations, e.g. mono-, di-, or tri- hydroxyethyl ammonium cations. More preferred cations are ammonium (NH4⁺) or the triethanol ammonium cation or the lithium or natrium cation. The dyestuffs may be converted from the free-acid form to a salt or mixed salt form or vice-versa or from one salt form to another according to conventional techniques.

The compound may also be in a mixed salt. In a further preferred embodiment the dyestuff is in a mixed salt form wherein 80-100 weight % lithium cations and 0-20% sodium cation; in a more preferred embodiment the mixed salt form comprises 85 to 95% lithium cations and 5-15% sodium cations. In a further preferred embodiment the dyestuff is in a mixed salt form wherein 80-100 weight, % triethanol ammonium cations and 0-20% sodium cation; in a more preferred embodiment the mixed salt form comprises 85 to 95% triethanol ammonium cations and 5-15% sodium cations.

The compounds of formula (I) may be converted into dyeing preparations. Processing into stable liquid, preferably aqueous, or solid (granulated or powder form) dyeing preparations may take place in a generally known manner.

15

20.

25

30

A further embodiment of the invention relates to the use of the above mentioned compounds for the ink-jet printing process.

A further embodiment of the present invention relates to a inkjet printing composition for printing recording materials, preferably paper and papery substrates, textile fibre materials, plastic films and plastic transparencies, comprising

- 1) a mixture of dyestuffs as defined above and
- 2) water or a medium including a mixture of water and an organic solvent, an anhydrous organic solvent or a solid having a low melting point,

The inkjet printing composition may optionally comprise further additives.

A further embodiment of the invention relates to the use of the above mentioned compositions for the ink-jet printing process.

The dyes of the formula (I) used in the inks should preferably be low in salt, i.e. have a total salt content of less than 0.5% by weight, based on the weight of the dyes. Dyes having higher salt contents (owing to their preparation and/or the subsequent addition of extenders) may be desalted, for example by means of membrane separation processes, such as ultrafiltration, reverse osmosis or dialysis.

The inks preferably include a total amount of dyes which is in the range from 0.5 to 35% by weight, preferably in the range from 1 to 35% by weight, more preferably in the range from 2 to 30% by weight, most preferably in the range from 2.5 to 20% by weight, based on the total weight of the ink.

The inks include 99.5 - 65% by weight, preferably 99 - 65% by weight, more preferably 98 - 70% by weight, most preferably 97.5 - 80% by weight, of an abovementioned medium 2), which includes a mixture of water and an organic solvent, an anhydrous organic solvent or a solid having a low melting point.

When the ink composition of the invention is used for printing paper or papery substrates, the inks are preferably used together with the following compositions.

When the medium is a mixture of water and an organic solvent, the weight ratio of water to organic solvent is preferably in the range from 99:1 to 1:99, more preferably in the range from 99:1 to 50:50, particularly preferably in the range from 95:5 to 80:20.

- It is preferable for the organic solvent, which is included in the mixture with water to be 5 a water-soluble solvent or a mixture of various water-soluble solvents. Preferred watersoluble organic solvents are C1-6-alcohols, preferably methanol, ethanol, n-propanol, isopropanol, n-butanol, sec-butanol, tert-butanol, n-pentanol, cyclopentanol and cyclohexanol; linear amides, preferably dimethylformamide, or dimethylacetamide; 10 ketones and keto alcohols, preferably acetone, methyl ethyl ketone, cyclohexanone and diacetone alcohol; water-miscible ethers, preferably tetrahydrofuran and dioxane; diols, preferably diols possessing 2 to 12 carbon atoms, e.g. 1,5-pentanediol, ethylene glycol, propylene glycol, butylene glycol, pentylene glycol, hexylene glycol and thiodiglycol and oligo- and poly-alkylene glycols, preferably diethylene glycol, triethylene glycol, 1,2-propylenglycol, polyethylene glycol and polypropylene glycol; triols, preferably 15 glycerol and 1,2,6-hexanetriol; mono-C14-alkyl ethers of diols, preferably mono-C14alkyl ethers of diols possessing 2 to 12 carbon atoms, particularly preferably 2methoxyethanol, 2-(2-methoxyethoxy)ethanol, 2-(2-ethoxyethoxy)ethanol, 2-[2-(2methoxyethoxy)ethoxy]-ethanol, 2-[2-(2-ethoxyethoxy)ethoxy]ethanol, diethylenglycolmono-n-butylether, ethylene glycol monoallyl ether and polyoxyethylenalkylether (for 20 example Emulgen 66 of KAO Corp. (Emulgen is a trade mark of the Kao Corp.)); alkanolamines, preferably 2-diethylamine-1-ethanol, 3-dimethylamine-1-propanol, 3diethylamine-1-propanol, 2-(2-aminoethoxy)ethanol, 2-(2-dimethylaminoethoxy)-
- ethanol, 2-(2-diethylaminoethoxy)ethanol, mono-, di-, triethanolamine,

 monoglycolamines and polyglycolamines, which may be obtained by reaction of
 ammonia, alkyl- or hydroxyalkylamines like methylamine, ethylamine, dimethylamine,
 diethylamine, mono-, di- and triethanolamines with alkyleneoxides for example
 ethylenoxide, 1,2-propylenoxide, 1,2-butylenoxide or 2,3-butylenoxide in suitable ratios
 as described in DE2061760A, preferably diethylenglycolamine, triethylenglycolamin,

 Bis-diethylenglycolamin, polyoxyethylen-(6)-triethanolamine, polyoxyethylen-(9)-
- bis-diethylenglycolamin, polyoxyethylen-(6)-triethanolamine, polyoxyethylen-(9)-triethanolamine, o-(2-aminoethyl)-polyethylenglycol 750, o,o-Bis-(2-aminopropyl)-polyethylenglycol 500, 800, 1900, 2000, o,o'-Bis-(3-aminopropyl)-polyethylenglycol 1500, cyclic amides, preferably 2-pyrrolidone, N-methyl-2-pyrrolidone, N-ethyl-2-

15

20

10

pyrrolidone, N-(2-hydroxy)ethyl-2-pyrrolidone, caprolactam and 1,3-dimethylimidazolidone; cyclic esters, preferably caprolactone; sulphoxides, preferably dimethyl sulphoxide and sulpholane.

In a preferred composition, the medium as per 2) includes water and at least 2 or more, more preferably 2 to 8, water-soluble organic solvents.

Particularly preferred water-soluble solvents are cyclic amides, particularly 2pyrrolidone, N-methyl-2-pyrrolidone and N-ethyl-2-pyrrolidone; N-(2-hydroxy)ethyl-2pyrrolidone, C_{1.6}-alcohols, preferably n-propanol, cyclohexanol, diols, preferably 1,5pentanediol, ethylene glycol, thiodiglycol, diethylene glycol, triethylene glycol and 1,2propyleneglycol, triols, preferably glycerol; and mono-C₁₋₄-alkyl and C₁₋₄-alkyl ethers of diols, more preferably mono-C_{1.4}-alkyl ethers of diols possessing 2 to 12 carbon particularly preferably 2-[2-(2-methoxyethoxy)-ethoxy]-ethanol, atoms, diethylenglycol-mono-n-butylether, (for example Emulgen 66 of KAO Corp. (Emulgen is a trade mark of the Kao Corp.)), 2-diethylamine-1-ethanol, 3-dimethylamine-1-3-diethylamine-1-propanol, 2-(2-diethylaminoethoxy)-ethanol, propanol, triethanolamine, diethylenglycolamin, polyglycolamines, preferably polyoyxethylen-(6)-triethanolamin, polyoxyethylen-(9)-triethanolamine, o-(2-aminoethyl)-polyethylen 500 o.o-bis-(3-aminopropyl)o,o-bis-(2-aminopropyl)-polyethylen and polyethylenglycol 1500.

A preferred medium as per 2) comprises

- (a) 75 to 95 parts by weight of water and
- 25 (b) 25 to 5 parts of one or more of the watersoluble solvents. wherein the parts are by weight and all parts of (a) and (b) add up to 100.

Examples of further useful ink compositions including water and one or more organic solvents are found in the Patent Specifications US 4963189, US 4703113, US 4626284 and EP 425150A.

. 20

11

When the medium as per 2) includes an anhydrous (i.e. less than 1% by weight of water) organic solvent, this solvent will have a boiling point of 30 to 200°C, more preferably of 40 - 150°C, particularly preferably of 50 - 125°C.

5 The organic solvent can be water-insoluble, water-soluble or mixtures of such solvents.

Preferred water-soluble organic solvents are all above-described water-soluble organic solvents and mixtures thereof.

Preferred water-insoluble solvents include inter alia aliphatic hydrocarbons; esters, preferably ethyl acetate; chlorinated hydrocarbons, preferably CH₂Cl₂; and ethers, preferably diethyl ether; and mixtures thereof.

When the liquid medium as per 2) includes a water-insoluble organic solvent, it is preferable to add a polar solvent to increase the solubility of the dye in the liquid medium.

Examples of such polar solvents are C₁₋₄-alcohols, preferably ethanol or propanol; ketones, preferably methyl ethyl ketone.

The anhydrous organic solvent can consist of a single solvent or a mixture of 2 or more different solvents.

When it is a mixture of different solvents, a mixture including 2 to 5 different anhydrous solvents is preferred. This makes it possible to provide a medium as per 2) which permits good control of the drying properties and of the stability of the ink composition in storage.

Ink compositions including an anhydrous organic solvent or mixtures thereof are of particular interest when rapid drying times are required and especially when they are used for prints on hydrophobic and non-absorbing substrates, such as plastic, metal and glass.

图018

30

case 2002CH011

12

Preferred low-melting media have a melting point of 60 to 125°C. Useful low-melting solids include long-chain fatty acids or alcohols, preferably those having a C₁₈₋₂₄-carbon chain, and sulphonamides.

The ink composition of the invention may further include as auxiliaries additional 5 components which are normally used in inkjet inks, for example viscosity improvers, surface tension improvers, biocides, corrosion inhibitors, levelling agents, drying agents, humefactants, ink penetration additives, light stabilizers, UV absorbers, optical brighteners, coagulation reducers, ionic or nonionic surfactants, conducting salts and pH buffers. 10

These auxiliaries are preferably added in an amount of 0 - 5% by weight.

To prevent precipitation in the ink compositions of the invention, the dyes used have to be purified clean. This can be done with commonly known purifying methods. 15

When printing textile fibre materials, useful additives, as well as the solvents, include water-soluble nonionic cellulose ethers or alginates.

- The preferred material is paper. The paper may be plain or treated. 20 Preference is given to ink compositions having a viscosity of 1 to 40 mPa's, especially 5 to 40 mPa's, preferably 10 to 40 mPa's. Ink compositions having a viscosity of 10 to 35 mPa.s are particularly preferred.
- Preference is given to ink compositions having a surface tension of 15 73 mN/m, 25 especially 20 - 65 mN/m, particularly preferably 30 - 50 mN/m.

Preference is given to ink compositions having a conductivity of 0.1 - 100 mS/cm, especially 0.5 - 70 mS/cm, particularly preferably 1.0 - 60 mS/cm.

The inks may further include buffer substances, for example borax, borate or citrate. Examples are sodium borate, sodium tetraborate and sodium citrate.

20

25

30

13

They are used in particular in amounts of 0.1 to 3% by weight, preferably 0.1 to 1% by weight, based on the total weight of the ink, to set a pH of for example 5 to 9, especially 6 to 8. A citrate buffer is preferred in the case of alginatic inks.

The inks may further include customary additives, for example foam suppressants or especially fungal and/or bacterial growth inhibitors. These are customarily used in amounts of 0.01 to 1% by weight, based on the total weight of the ink.

The prints obtainable by the process of the invention have good general fastnesses, a good lightfastness and also sharp contours and a high colour strength. The inks provide prints of high optical density.

The printing inks used are notable for good stability and good viscosity properties. The recording fluids of the invention have viscosity and surface tension values which are within the ranges suitable for the ink-jet processes. The viscosity remains virtually unchanged even in the event of high shearing forces occurring during printing.

Recording fluids according to the invention in storage are not prone to the formation of precipitates that leads to fuzzy prints or nozzle cloggage.

A further aspect of the present invention is the use of the printing ink in trichromatic printing. Trichromatic printing is a very large application for all recording materials. This form of printing is normally carried out with a yellow, red and blue ink composition. Furthermore, the yellow dye formulations or compositions of the invention may be used as an ink set in combination with black, magenta and/or cyan recording fluids.

The dyestuff, dye formulations or compositions according to the invention may be used for shading other dyestuff, dye formulations or compositions. While the dyestuff, dye formulations or compositions according to the invention itself may be blended with other compabibles dyestuff, dye formulations or compositions to achieve the desired shade. Instead of blending the dyestuff, dye formulations or compositions it is also possible to mix inks of different shades to achieve the desired colour.

→→→ EP PAT MUNCHEN

9/11 '02 FR 15:10 FAX 0041 61 4696588 case 2002CH011

5

20

25

30

For example the shading colorant may be selected (among others) from the group consisting of C.I. Pigment Yellow 1, 3, 12, 13, 14, 16, 17, 42, 53, 73, 74, 81, 83, 97, 102, 111, 120, 126, 127, 139, 147, 151, 154, 155, 173, 174, 175, 176, 180, 181, 184, 191, 192, 194, 196, 213, 214, C.I. Pigment Orange 5, 13, 34, 36, 38, 43, 62, 68, 70, 71, 72, 73, 74, C.I. Pigment Red 2, 3, 4, 5, 9, 12, 14, 38, 48:2, 48:3, 48:4, 52:2, 53:1, 57:1, 101, 112, 122, 144, 146, 147, 149, 168, 169, 170, 175, 176, 179, 181, 184, 185, 187, 188, 208, 209, 210, 214, 219, 242, 247, 253, 254, 256, 257, 262, 263, 266, 297, 254, 255, 272, C.I. Pigment Violet 19, 23, 32, C.I. Pigment Blue 15, 15:1, 15:2, 15:3, 15:4, 15:6, 16, 28, 56, 61, 60, 75, 80, C.I. Pigment Green 7, 8, 10, 17, 36, 50. The shading 10 colorants are present in an amount of 0.001 to 5% by weight, preferably 0.01 to 1% by weight, based on the total weight of the dry dye mixture.

This invention further provides recording materials, which have been printed with a composition according to the invention. 15

Moreover, the dye formulations or compositions of the invention are useful as colorants in the electrophotographic toners and developers, for example one- and two-component powder toners, magnetic toners, liquid toners, polymerization toners and other specialty toners.

Typical toner binders are addition polymerization, polyaddition and polycondensation resins, such as styrene, styrene-acrylate, styrene-butadiene, acrylate, polyester, phenolic and epoxy resins, polysulfones, polyurethanes, individually or in combination, and also polyethylene and polypropylene, in or to which further ingredients, such as charge control agents, waxes or flow agents, may be present or added subsequently. Dye formulations or compositions according to the invention are further useful as colorants in powders and powder coating materials, especially triboelectrically or electrostatically sprayed powder coating materials, which are used to coat the surfaces of articles made for example of metal, wood, plastic, glass, ceramic, concrete, textile material, paper or rubber. Powder coating resins employed are typically epoxy resins, carboxyl- and hydroxyl-containing polyester resins, polyurethane resins and acrylic resins together with customary curing agents. Combinations of resins are also used. For instance, epoxy

resins are frequently used in combination with carboxyl- and hydroxyl-containing polyester resins.

The dye formulations or compositions of the invention are also useful as colorants for color filters, for additive as well as subtractive color generation (P. Gregory "Topics in Applied Chemistry: High Technology Applications of Organic Colorants" Plenum Press, New York 1991, page 15-25), and also as colorants in electronic inks for electronic newspapers.

The examples hereinbelow illustrate the invention. Temperatures are in degrees Celsius; parts and percentages are by weight, unless otherwise stated.

EXAMPLE: synthesis of 2-Amino-benzthiazole-5-sulfonic acid:

218g 2-amino-nitrobenzene-5-sulfonic acid were added to 1100ml of a 1.0 Molar Sulphuric acid at 5°C and diazotated. The resulting suspension was slowly added to a solution of 180ml water, 152g NH₄SCN and 5g Cu(SCN)₂ at 0 to 5 °C and stirred for additional 3 hours at room temperature. The reaction mixture was poured into a hot (90 to 95°C) suspension of 1000ml water, 500g iron powder and 17g of a 37% hydrochloric acid and the resulting suspension was stirred till the reduction was completed. The mixtures was brought to a pH of more than 9 and the iron slurry was filtered off. The product was precipitated by lowering the pH, filtered off, washed and dryed. This yields 200g product (2-Amino-benzthiazole-5-sulfonic acid) as an press cake.

10

Table 1: substituted benzthiazoles-Nr. 1 to Nr. 22:

The following 2-aminobenzothiazoles were synthesized according to US4363913 by the oxidative ring closure of an arylthiourea which comprises contacting the arylthiourea with sulfuric acid and a catalytic amount of a bromine compound or by benzothiazoles from N-arylsubstituted thioureas by oxidative ring closure by the use of chlorine as oxidizing agent and by the presence of catalytic amounts of bromine according to US4113732 or by reducing 3-nitro-4-thiocyanobenzenesulphonic acid according to GB1594002 as shown above.

benz- thiazole- Nr.	R_1	R ₂	R ₃	R4
1	ŞO₃H	Н	н	н
2	SO₃H	Н	CH ₃	Н
3	SO₃H	H	OCH ₃	Н
4	СООН	Н	н -	H
5	OCH₃	Н	н	Н
6	H	OCĤ₃	Н	H
7	н	SO₃H	н	H
8	Н	SO₃H	OCH ₃	Н
9	н	SO ₂ NH ₂	н	Н

	`	,		
10	Н	Н	SO₃H	Н
11	Н	н	СООН	Н
12	H	H	COOCH ₃	Н
13	Н	H	COOEt	Н
14	Н	H	NH ₂	н.
15	H	Н	·NH	Н
16	н	Н	ОСН3	SO₃H
17	Н	Н	•0 OH	Н
18	H	Н	*SO ₂ OH	H
19	Н	, H	*SO ₂ -N	Н
20	H	H	H	СООН
21	н	H	Н	SO₃H
22	H	' н	HO ₃ S	

→→→ EP PAT MÜNCHEN

18

EXAMPLE synthesis of 1-(3'-sulfophenyl)-3-methyl-5-aminopyrazol

173g of methanilic acid were diazotazed at 0 to 5 °C and reduced by 269g Sodium sulfite in the presence of 40 sodium hydrogenearbonate at 14 to 16°C. After this step the product was hydrolized by adding 330g sulfuric acid (85%) at 75°C. The resulting hydrazine was filtered off and at pH 7 reacted with 82g 3-Aminocrotonic nitrile. The product was precipitated by adding 350g hydrochloric acid, filtered off, washed and dried which yields a press cake of 270g of 1-(3'-sulfophenyl)-3-methyl-5-aminopyrazol.

10

Table 2: substituted amino pyrazoles Nr. 1 to 20

The following amino pyrazoles were obtained in a similar manner as described above. If
the phenyl moitie is substituted by a amino group, these derivatives were synthesized
via the corresponding nitro derivatives.

amino pyrazoles Nr.	R ₅	R ₆	R ₇	R ₈	. R ₉
1,	SO₃H	н	Н	Н	Н
2	СН₃	H .	SO₃H	Н	Н
· 3	SO₃H	н	Н	SO₃H	Н
4	Н	· SO ₃ H	Н	н	Н
5	н	SO₃H	NH ₂	Н	Н
6	Н	SO₃H _.	OCH₃	Н	Н
7	Н	СН₃	SO₃H	H	Н
. 8	H	NH ₂	SO₃H	. н	H
9	H	O==0 O=0	Н	H	н
· 10	H	H	\$O₃H	Н	Н

. 11	Н	Ĥ	соон	. н	Н
12	Н	Н	O=-0H .	Н	Н
13	Ţ.	Ċ	SO₃H	Н	Н
14	SO₃H			H	Н
15	Н	SO ₃ H		H	Н
16	H	° Co₃H		Н	H
17	Н	• ; 50 ₃ н		н	Н
18	Н	но,		Н	H
19	SO₃H	HO3S		. Н	Н
20	Н	HO ₃ S SO ₃ H		Н	Н

EXAMPLE: synthesis of dye Nr. 1 (coupling product of the diazotated 2-Aminobenzthiazole-5-sulfonic acid and 1-(3'-sulfophenyl)-3-methyl-5-aminopyrazol)

To 500g sulfuric acid (98%) 40g sodium nitrite were added and cooled to 5°C. To the cooled solution 115g 2-Amino-benzthiazole-5-sulfonic acid were added while adding 625g of crushed ice to keep the temperature low. After the diazotation of the 2-Amino-benzthiazole-5-sulfonic acid has been completed, this suspension was added to a solution of 1000ml water and 127g 1-(3'-sulfophenyl)-3-methyl-5-aminopyrazol. The pH was rised from a pH of 0 to 1 to a pH of 5 to 6 by adding about 1330g of a 30% NaOH solution. The mixture was stirred until the coupling was completed. The resulting dye was purified, desalted and concentrated.

The dyestuff revealed excellent light fastness, good ozon fastness and showed a very brilliant yellow color when applied to paper. The absorbtion maximum was 449,0 nm measured in water with sodium acetat (2g per liter).

Table 3: dye-Nr. 2 to dye-Nr. 42 (The absorbtion maximum were measured in water with sodium acetat (2g per liter))

The following examples were sythesized in a similar manner as disclosed in example 1 of GB1597959

dye-Nr.	Structure	λ_{max}
2	SO ₃ H H ₂ N SO ₃ H	408,3
3	SO ₃ H H ₃ C N SO ₃ H	404,9
4	SO ₃ H N SO ₃ H SO ₃ H	427,4
,5	SO ₃ H H ₃ C N SO ₃ H :	435,1
6	SO ₃ H H ₃ C N COOH	439,7
7	H ₃ C N SO ₃ H SO ₃ H	453,2
8	COOH N N N SO ₃ H	443,0

		
9	HO ₃ S N N N N N N N N N N N N N N N N N N N	449,2
10	HO ₃ S N N N N N N N N N N N N N N N N N N N	448,3
11	HO ₃ S N SO ₃ H SO ₃ H	452,1
12	HO ₃ S N N N N N N N N N N N N N N N N N N N	452,3
13	HO ₃ S H ₃ C N SO ₃ H NH ₂ N NH ₂	454,2
14	HO3S N N O SO OH	452,2
15	HO ₃ S N N SO ₃ H	462,1
16	HOJS N N N N N N N N N N N N N N N N N N N	462,4
17	HO,S N N N SO,H	461,7

18	HO38 TH3C N SO3H	463,2
19	HO ₃ S N N N N N N N N N N N N N N N N N N N	460,3
20	HO ₃ S H ₂ N H ₂ N HO ₃ S	461,3
21	HO ₃ S N SO ₃ H H ₂ N HO ₃ S	465,8
22	HO ₃ S N N N N N N N N N N N N N N N N N N N	468,2
23	HO ₃ S N N N N N N N N N N N N N N N N N N N	443,7
24	H ₂ N SO ₃ H	454,3
25	H ₃ C N SO ₃ H SO ₃ H	459,5

26	Ho ₃ S N N N N N N N N N N N N N N N N N N N	453,0
27	H ₂ C N N N N N N N N N N N N N	452,5
28	H ₃ C N N N N N N N N N N N SO ₃ H	451,8
29	H ₃ C N SO ₃ H H ₂ N SO ₃ H	455,9
30	(CH ²) ² -N-O ² 8	459,7
31	H ₃ C N SO ₃ H H ₂ N SO ₃ H	454,7
32	H,COOC SO,H	453,2
33	EIOOC S H ₂ N SO ₃ H	453,8

34	H ₂ C N N N SO ₃ H SO ₃ H	472,8
35	H ₃ C H ₃ C H ₂ N SO ₃ H	459,0
36	HO O SO ₃ H	458,1
37	H ₃ C N SO ₃ H H _E N SO ₃ H	457,4
38	H ₃ C N N N N N N N N N N N N N N N N N N N	389,7
39	H ₃ C N N H ₂ N SO ₃ H	371,8
40	Н ₃ С N Н ₂ N Ч So ₃ H	458,1
41	H ₃ C N N N N N N N SO ₃ H	442,9
42	H ₃ C N N N N SO ₃ H SO ₃ H	470,3

Example: Formulation of ink-jet inks

A 20 % solution of dyestuff example 1 was formulated to an ink-jet ink, comprising at least 2,5 wt% of dyestuff 1, and the following ingredients were added to a stirred

5 solution:

Example 1:

12,5 wt% of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)

15,0 wt% of N-methyl-2-pyrrolidone

10 72,5 wt% of water

The ink of example 1 comprising dyestuff 1 was filtred by micro-filtration (having 0,45 micrometer pores) and was ready to use for ink jet printing.

The following formulations are used for printing materials as discribed above. Such compositions were made by the same procedure as described in formulation example 1.

Example 2:

12.5 wt% of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)

20 15,0 wt% of diethyleneglycol

72,5 wt% of water

Example 3:

12,5 wt% of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)

25 10,0 wt% of N-methyl-2-pyrrolidone

5,0 wt% of diethyleneglycol

72.5 wt% of water

Example 4:

30 12,5 wt% of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)

10,0 wt% of diethyleneglycol

3,0 wt% of diethylenglycol-mono-n-butylether

74,5 wt% of water

	Example 5:	
	12,5 wt%	of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)
	10,0 wt%	of thiodiglycol
5	5,0 wt%	of N-methyl-2-pyrrolidone
	72,5 wt%	of water
	Example 6:	
	12,5 wt%	of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)
10	10,0 wt%	of diethyleneglycol
	1,0 wt%	of Emilgen 66
	76,5 wt%	of water
	Example 7:	
15	12,5 wt%	of 20 % solution of dyestuff 1 (= 2,5 wt% dyestuff 1)
	5,0 wt%	of glycerol
	5,0 wt%	of diethyleneglycol
	2,0 wt%	of triethanolamine
	75,5 wt%	of water
20		
	Example 8:	
	25,0 wt%	of 10 % solution of dyestuff 4 (= 2,5 wt% dyestuff 4)
	20,0 wt%	of diethylenglycol
	55,0 wt%	of water
25		
	Example 9:	
	25,0 wt%	of 10 % solution of dyestuff 4 (= 2,5 wt% dyestuff 4)

of 1,2 propyleneglycol

of water

20,0 wt% 55,0 wt%

```
Example 10:
                    of 10 % solution of dyestuff 4 (= 2,5 wt% dyestuff 4)
      25,0 wt%
       8,0 wt%
                    of diethylenglycol
 5
       5,0 wt%
                    of glycerol
       1,0 wt%
                    of Emulgen 66
      61,0 wt%
                    of water
      Example 11:
10
      12,5 wt%
                    of 20 % solution of dyestuff 4 (= 2,5 wt% dyestuff 4)
      10,0 wt%
                    of thiodiglycol
       5,0 wt%
                    of diethylenglycol
      72,5 wt%
                    of water
15
     Example 12:
      12,5 wt%
                    of 20 % solution of dyestuff 4 (= 2,5 wt% dyestuff 4)
      10,0 wt%
                    of thiodiglycol
       5,0 wt%
                    of 1-propanol
      72,5 wt%
                    of water
20
      Example 13:
      12,5 wt%
                    of 20 % solution of dyestuff 4 (= 2,5 wt% dyestuff 4)
      15,0 wt%
                    of polyethoxylated-(9)-triethanolamine
     ·72,5 wt%
                    of water
25
      Example 14:
      25,0 wt%
                    of 10 % solution of dyestuff 7 (= 2,5 wt% dyestuff 7)
       8,0 wt%
                    of diethylenglycol
       4,0 wt%
                    of diethylenglycol-mono-n-butylether
30
       3,0 wt%
                    of I-propanol
     60,0 wt%
                    of water
```

```
Example 15:
```

25,0 wt% of 10 % solution of dyestuff 7 (= 2,5 wt% dyestuff 7)

10,0 wt% of diethylenglycol

5 5,0 wt% of N-methyl-pyrrolidone

60,0 wt% of water

Example 16:

12,5 wt% of 20 % solution of dyestuff 11 (= 2,5 wt% dyestuff 11)

10 20,0 wt% of diethylenglycol

68,5 wt% of water

Example 17:

12,5 wt% of 20 % solution of dyestuff 11 (= 2,5 wt% dyestuff 11)

15 10,0 wt% of 1,5-pentandiol

5,0 wt% of ethylenglycol.

72,5 wt% of water

Example 18:

20 25,0 wt% of 10 % solution of dyestuff 16 (= 2,5 wt% dyestuff 16)

15,0 wt% of 2-[2-(2methoxyethoxy)ethoxy]-ethanol

60,0 wt% of water

Example 19:

25,0 wt% of 10 % solution of dyestuff 16 (= 2,5 wt% dyestuff 16)

25 15,0 wt% of N-(2-hydroxy)ethyl-2-pyrrolidone

60,0 wt% of water

· Example 20:

25,0 wt% of 10 % solution of dyestuff 23 (= 2,5 wt% dyestuff 23)

30 10,0 wt% of 2-diethylamino-1-ethanol

5.0 wt% of o,o-bis-(2-aminopropyl)-polyethylenglycol 500

60,0 wt% of water

Example 21:

25,0 wt% of 10 % solution of dyestuff 23 (= 2,5 wt% dyestuff 23)

10,0 wt% of o-(2-aminoethyl)-polyethylenglycol 750

5,0 wt% of thiodiglycol

5 60,0 wt% of water

Example 22:

25,0 wt% of 10 % solution of dyestuff 25 (= 2,5 wt% dyestuff 25)

10,0 wt% of 3-dimethylamino-1-propanol

10 5,0 wt% of glycerol

60,0 wt% of water

Example 23:

25,0 wt% of 10 % solution of dyestuff 25 (= 2,5 wt% dyestuff 25)

10,0 wt% of diethylenglycol

5 5,0 wt% of cyclohexanol

60,0 wt% of water

Example 24:

25,0 wt% of 10 % solution of dyestuff 30 (= 2,5 wt% dyestuff 30)

10 15,0 wt% of diethylenglycol

60,0 wt% of water

Example 25:

25,0 wt% of 10 % solution of dyestuff 32 (= 2,5 wt% dyestuff 32)

15 10,0 wt% of diethylenglycol

5,0 wt% of o,o-bis-(3-aminopropyl)-polyethylenglycol 1500

60,0 wt% of water

Example 26:

20 25,0 wt% of 10 % solution of dyestuff 36 (= 2,5 wt% dyestuff 36)

10,0 wt% of 3-dimethylamino-1-propanol

5,0 wt% of thiodiglycol

60,0 wt% of water

25 Example 27:

25,0 wt% of 10 % solution of dyestuff 38 (= 2,5 wt% dyestuff 38)

10,0 wt% of 2-(2-diethylaminoethoxy)-ethanol

5.0 wt% of glycerol

60,0 wt% of water

Example 28:

25,0 wt% of 10 % solution of dyestuff 41 (= 2,5 wt% dyestuff 41)

10.0 wt% of diethylenglycol

5 1,0 wt% of Emulgen 66

64.0 wt% of water

Application Examples

10 Each of the inks as indicated above were introduced into an HP 880C DeskJet Printer and printed onto an A4 HP Premium Inkjet paper (HP and DeskJet are registered trademarks of Hewlett-Packard, Palo Alto, California, USA). Different properties of the prints have been tested and the had good to excellent fastnes against ozon and light. The prints were brilliant and had a good yellow shade.

Claims

5

10

15

20

25

1. Dyestuffs according to the formula (I)

wherein

 R_1 , R_2 , R_3 , R_4 , independently from each other signifies H, Cl, Br, F, SO₃H, SO₂R, SO₂NR'R", COOR, COOH, OH, alkyl, aryl, alkoxy, NCOCH₃, or NR'R", or two neighbouring substituents R_1 , R_2 , R_3 , or R_4 form together a ring which is annealed to the benzthiazole moitie and can be unsubstituted or substituted by SO₃H;

R₅, R₆, R₇, R₈, R₉ independently from each other signifies H, Cl, Br, F, Alkyl, Aryl, Alkoxy, SO₃H, SO₂R, NR'R", or two neighbouring substituents R₅, R₆, R₇, R₈ or R₉ form together a ring which is annealed to the phenyl moitie and can be unsubstituted or substituted by SO₃H;

R is H, alkyl or aryl group which may be substituted or unsubtituted or R' and R' are independently from each other H, alkyl or alkoxy or aryl groups which may be substituted or unsubtituted;

with the proviso that at least one of the substituents R_5 , R_6 , R_7 , R_8 , R_9 is different from H;

as well as their salts and/or mixtures thereof.

2. The dyestuff according to claim 1 characterized in that they have the formula (I)

wherein

10 .

15

R'₁, R'₂, R'₃, R'₄, independently from each other signifies H, SO₃H, SO₂R, SO₂NR'R", COOR, COOH, alkyl, alkoxy, NCOCH₃, or NR'R'', or two neighbouring substituents R'₁, R'₂, R'₃, or R'₄ form together a ring which is annealed to the benzthiazole moitie and can be unsubstituted or substituted by SO₃H and wherein at least two of the substituents R'₁, R'₂, R'₃ or R'₄ signify H;

R'₅, R'₆, R'₇, R'₈, R'₉ independently from each other signifies H, Alkyl, Aryl, methoxy or ethoxy. SO₃H, SO₂CH₂CH₂-OH, NR'R", or two neighbouring substituents R₅, R₆, R₇, R₈ or R₉ form together a ring which is annealed to the phenyl moitie and can be unsubstituted or substituted by SO₃H; and wherein at least two of the R'₅, R'₆, R'₇, R'₈ or R'₉ signify H;

with R R' and R" as defined above;

with the proviso that at least one of the substituents R'5, R'6, R'7, R'8 or R'9 is different from H.

The dyestuff according to claim 1 or 2 characterized in that R₉ or R'₉ siginfies H.

@ U42

The dyestuff according to claim 3 characterized in that they have the formula (I") 4.

$$R_{3}^{"}$$
 $R_{4}^{"}$
 $R_{4}^{"}$

wherein

R"₁, R"₂, R"₃, R"₄, independently from each other signifies H, SO₃H, SO₂CH₂CH₂-OH, SO₂NH₂, SO₂N(CH₃)₂, COOH, COOCH₃, COOCH₂CH₃, CH₃, CH₂CH₃, OCH₂CH₂OH, NCOCH₃, N(CH₃)₂ or NH₂, or two neighbouring substituents R"₁, R"₂, R"₃, or R"₄ form together a six membered ring which is annealed to the benzthiazole moitie and can be unsubstituted or substituted by SO₃H and wherein at least two of the substituents R"₁, R"₂, R"₃ or R"₄ signify H;

R"5, R"6, R"7, R"8, R"9 independently from each other signifies H, methyl, ethyl, aryl, methoxy or ethoxy, SO₃H, SO₂CH₂CH₂-OH, NH₂, or two neighbouring substituents R₅, R₆, R₇, R₈ or R₉ form together a six membered ring which is annealed to the phenyl moitie and can be unsubstituted or substituted by SO3H; and wherein at least one of the R"5, R"6, R"7 or R"8 signifies H;.

with the proviso that at least one of the substituents R'5, R'6, R'7, R'8 or R'9 is different from H.

15

5

15

20

37

5. The dyestuff according to claim any of the preceeding claims characterized in that the six membered ring which is annealed to the phenyl has one of the formula

wherein the asterix signify the point of attachement to the phenyl.

- 6. An ink jet printing ink or an printing paste or an liquid dyeing preparation comprising at least one of the dyes according to the claims 1 or 2 or 3 or 4 or 5.
 - 7. Use of at least one of the dyes according to the claims 1 or 2 or 3 or 4 or 5 for dyeing or printing or ink jet printing organic substrates.
 - 8. Use according to claim 7 characterized in that the organic substrate is paper or a papery substrate.
 - 9. Organic substrates dyed or printed with at least on of the dyes according to the claims 1 or 2 or 3 or 4 or 5.
- 25 10. The dyed or printed substrate according to claim 9 characterized in that the organic substrate is paper or a papery substrate.

Abstract

5

The invention relates to novel azo dyestuffs of formula (I),

$$\begin{array}{c} R_{2} \\ R_{3} \\ R_{4} \end{array}$$

the use of such compounds and/or mixtures for printing recording materials, especially paper or papery substrates, textile fibre materials, plastic films and plastic transparencies by the inkjet printing process and also to the recording materials printed thereby.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.